# Principles of Staged Static+Dynamic Partial Analysis 

29th Static Analysis Symposium

Aditya Anand and Manas Thakur

Indian Institute of Technology Mandi


December 7th, 2022

## Introduction

* Several popular languages (such as Java, C\#, Scala) use just-in-time (JIT) compilation.


## Introduction

* Several popular languages (such as Java, C\#, Scala) use just-in-time (JIT) compilation.
* Performing precise program-analysis during JIT Compilation - highly inefficient.


## Introduction

* Several popular languages (such as Java, C\#, Scala) use just-in-time (JIT) compilation.
* Performing precise program-analysis during JIT Compilation - highly inefficient.
* Most JIT compilers sacrifice precision for efficiency.


## Introduction

* Several popular languages (such as Java, C\#, Scala) use just-in-time (JIT) compilation.
* Performing precise program-analysis during JIT Compilation - highly inefficient.
* Most JIT compilers sacrifice precision for efficiency.
* Can we use static analysis to impart precision in JIT analyses?


## Using Static Analysis Results in JIT Compilers



## Using Static Analysis Results in JIT Compilers



* Challenge:
$\stackrel{\text { Library code needed to perform whole-program analysis. }}{\text { s }}$


## Using Static Analysis Results in JIT Compilers



* Challenge:
« Library code needed to perform whole-program analysis.
$\diamond$ Imprecise results due to conservative assumptions.


## Partial Program Analysis

## Partial Program Analysis

## * Proposed: OOPSLA 2008

# Enabling Static Analysis for Partial Java Programs 

Barthélémy Dagenais Laurie Hendren<br>McGill University, Montréal, Québec, Canada<br>[bart,hendren]@cs.mcgill.ca

## Partial Program Analysis

* Proposed: OOPSLA 2008


# Enabling Static Analysis for Partial Java Programs 

Barthélémy Dagenais Laurie Hendren<br>McGill University, Montréal, Québec, Canada<br>[bart,hendren]@cs.mcgill.ca

* Applied to JIT compilers: TOPLAS 2019

PYE: A Framework for Precise-Yet-Efficient Just-In-Time Analyses for Java Programs<br>MANAS THAKUR and V. KRISHNA NANDIVADA, IIT Madras

## PYE Framework and Conditional Values

"Precise-Yet-Efficient" framework generates highly precise analysis results for JIT compilers at a very low cost:

* Offloads expensive analysis to static compiler (javac) and generates conditional values.
* JIT component evaluates the conditional values at run-time and generates final analysis result.


## PYE Framework and Conditional Values

"Precise-Yet-Efficient" framework generates highly precise analysis results for JIT compilers at a very low cost:

* Offloads expensive analysis to static compiler (javac) and generates conditional values.
* JIT component evaluates the conditional values at run-time and generates final analysis result.
* Essentially, conditional values for a program element enlist the dependencies of that element.


## Dependencies in form of Conditional Values

1 class A \{
2 void foo(B b) \{
3 A a1 = new A() ; // Object $\mathrm{O}_{3}$
$4 \quad \mathrm{~A}$ a2 = new A() ; // Object $\mathrm{O}_{4}$
5 a1.bar(a2);
$6 \quad$ L l1 = new L(); // Object O6
$7 \quad$ l1.lib(a2); \}
8 void bar(A p1) \{
9 // no assignment to p1
10 \} \}

```
1 class L {
2 // A library class
3 void lib(A r1) {
4 // A library
                                    method
5
6 } }
```


## Dependencies in form of Conditional Values

1 class A \{
2 void foo(B b) \{
$3 \quad \mathrm{~A}$ a1 = new A() ; // Object $O_{3}$
$4 \quad \mathrm{~A}$ a2 = new A() ; // Object $\mathrm{O}_{4}$
5 a1.bar(a2);
$6 \quad$ L $11=$ new L(); // Object $O_{6}$
$7 \quad$ l1.lib(a2); \}
8 void bar(A p1) \{
9 // no assignment to p1
10 \} \}

* Conditional values for object $\mathrm{O}_{4}$ (for escape analysis):


## Dependencies in form of Conditional Values

```
1 class A {
2 void foo(B b) {
3 A a1 = new A(); // Object O3
4 A a2 = new A(); // Object O4
5 a1.bar(a2);
6 L l1 = new L(); // Object O6
7 l1.lib(a2); }
void bar(A p1) {
9 // no assignment to p1
10 } }
```

```
1 class L {
2 // A library class
3 void lib(A r1) {
4 // A library
                                    method
5
6 } }
```

* Conditional values for object $O_{4}$ (for escape analysis):

$$
\begin{align*}
g_{\text {A.foo }}\left(O_{4}\right)= & \{\langle\langle\text { A.bar, p1 }\rangle, D, D\rangle,\langle\langle\text { L.lib }, \mathrm{r} 1\rangle, D, D\rangle,  \tag{1}\\
& \langle\langle\text { A.bar, } \mathrm{p} 1\rangle, E, E\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\}
\end{align*}
$$

## Partial Result

$$
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\Pi_{\text {ea }}\{D,\langle\langle\text { L.lib }, \mathrm{r} 1\rangle, D, D\rangle, D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\}
$$

## Partial Result

$$
\begin{gathered}
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\sqcap_{\text {ea }}\{D,\langle\langle\text { L.lib }, r 1\rangle, D, D\rangle, D,\langle\langle\text { L.lib }, r 1\rangle, E, E\rangle\} \\
\left(\because f_{n}(y) \neq v, \text { so }=\perp \text { i.e } D\right)
\end{gathered}
$$

## Partial Result

$$
\begin{gathered}
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\sqcap_{\text {ea }}\{D,\langle\langle\text { L.lib }, r 1\rangle, D, D\rangle, D,\langle\langle\text { L.lib }, r 1\rangle, E, E\rangle\} \\
\left(\because f_{n}(y) \neq v, \text { so }=\perp \text { i.e } D\right) \\
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\sqcap_{e a}\{D,\langle\langle\text { L.lib }, r 1\rangle, D, D\rangle,\langle\langle\text { L.lib }, r 1\rangle, E, E\rangle\}
\end{gathered}
$$

## Partial Result

$$
\begin{array}{r}
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\Pi_{\text {ea }}\{D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle, D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\} \\
\quad\left(\because f_{n}(y) \neq \mathrm{v}, \text { so }=\perp \text { i.e } D\right) \\
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\Pi_{\text {ea }}\{D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\} \\
(\because D \sqcap D=D) \tag{2}
\end{array}
$$

## Partial Result

$$
\begin{array}{r}
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\Pi_{\text {ea }}\{D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle, D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\} \\
\quad\left(\because f_{n}(y) \neq \mathrm{v}, \text { so }=\perp \text { i.e } D\right) \\
\llbracket g_{\text {A.foo }}\left(O_{4}\right) \rrbracket=\Pi_{\text {ea }}\{D,\langle\langle\mathrm{~L} . \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\} \\
(\because D \sqcap D=D) \tag{2}
\end{array}
$$

* Partial Result:

$$
f_{\text {a.foo }}\left(O_{4}\right)=\Pi_{\text {ea }}\{\langle\langle 1.1 \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle,\langle\langle 1 . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\}
$$

## Existing PYE-like Staged Static+JIT Analyses

## Existing PYE-like Staged Static+JIT Analyses

* Is it correct to stage whole-program analysis across static and JIT compilation?


## Existing PYE-like Staged Static+JIT Analyses

* Is it correct to stage whole-program analysis across static and JIT compilation?
\& What is the form of the evaluator needed during JIT compilation?



## Existing PYE-like Staged Static+JIT Analyses

* Is it correct to stage whole-program analysis across static and JIT compilation?
\& What is the form of the evaluator needed during JIT compilation?

* Does the precision of staged analysis remain same as whole-program analysis?


## Existing PYE-like Staged Static+JIT Analyses

* Is it correct to stage whole-program analysis across static and JIT compilation?
$\diamond$ What is the form of the evaluator needed during JIT compilation?

* Does the precision of staged analysis remain same as whole-program analysis? What about its efficiency?


## Partial Evaluation

Partial evaluation [Jones 1996] specializes a given program with its statically available inputs. The resultant partially evaluated program can later be executed with the dynamic inputs to generate the final output.


## Partial Evaluation

Partial evaluation [Jones 1996] specializes a given program with its statically available inputs. The resultant partially evaluated program can later be executed with the dynamic inputs to generate the final output.


* Advantage: The specialized program $\mathrm{P}_{\mathrm{in}_{1}}$ often executes faster compared to executing the original program P provided both static and dynamic inputs together.


## Futamura Projections

* Partial evaluation has also been used to specialize interpreters and their generators, based on the notion of Futamura projections.


## Futamura Projections

* Partial evaluation has also been used to specialize interpreters and their generators, based on the notion of Futamura projections.



## Futamura Projections

* Partial evaluation has also been used to specialize interpreters and their generators, based on the notion of Futamura projections.



## Futamura Projections

* Partial evaluation has also been used to specialize interpreters and their generators, based on the notion of Futamura projections.



# Modeling Partial Analysis based on Partial Evaluation 

## Mapped Notation

| Source Program $\quad \mathrm{g}_{\mathrm{m}}(\mathrm{x})$ (Conditional Values) |  |
| :---: | :---: |
| Static Inputs | $\mathrm{S}_{\mathrm{x}}$ (Resolved Values) |
| Runtime Input | $\mathrm{D}_{\mathrm{x}}$ (Unresolved Values) |
| Evaluator | $\mathrm{CEval}_{\psi}$ |

## Language for Conditional Values

* Conditional Values:

$$
\begin{aligned}
g_{\text {A.foo }}\left(O_{4}\right)=\{ & \langle\langle\text { A.bar, } \mathrm{p} 1\rangle, D, D\rangle,\langle\langle\text { L.lib }, \mathrm{r} 1\rangle, D, D\rangle, \\
& \langle\langle\text { A.bar, p1 }, E, E\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\}
\end{aligned}
$$

## Language for Conditional Values

* Conditional Values:

$$
\begin{aligned}
g_{\text {A.foo }}\left(O_{4}\right)=\{ & \langle\langle\text { A.bar, } \mathrm{p} 1\rangle, D, D\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle, \\
& \langle\langle\text { A.bar, } \mathrm{p} 1\rangle, E, E\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\}
\end{aligned}
$$

* Language for Conditional Values:

```
<Start> ::= <ProgElem> : <CV>*
<ProgElem> ::= <Class> <Method> <Type> <Ref> <Fields>
<CV> ::= <ProgElem> <DepVal> <ResVal>
<Type> ::= LOCAL | PARM | ARG | RETVAL | FIELD
<DepVal> ::= D | E
<ResVal> ::= D | E
```


## Language for Conditional Values

* Conditional Values:

$$
\begin{aligned}
g_{\text {A.foo }}\left(O_{4}\right)=\{ & \langle\langle\text { A.bar, } \mathrm{p} 1\rangle, D, D\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, D, D\rangle, \\
& \langle\langle\text { A.bar, } \mathrm{p} 1\rangle, E, E\rangle,\langle\langle\mathrm{L} . \mathrm{lib}, \mathrm{r} 1\rangle, E, E\rangle\}
\end{aligned}
$$

* Language for Conditional Values:

```
<Start> ::= <ProgElem> : <CV>*
<ProgElem> ::= <Class> <Method> <Type> <Ref> <Fields>
<CV> ::= <ProgElem> <DepVal> <ResVal>
<Type> ::= LOCAL | PARM | ARG | RETVAL | FIELD
<DepVal> ::= D | E
<ResVal> ::= D | E
```

* Updated Conditional Values:
$\langle A$ foo LOCAL $4\langle n i l\rangle\rangle:\{\langle\langle$ bar PARM $1\langle n i l\rangle\rangle D D\rangle,\langle\langle L$ lib RETVAL $1\langle n i l\rangle D D\rangle$, $\{\langle\langle$ a bar PARM $1\langle$ nil $\rangle\rangle \mathrm{E} E\rangle,\langle\langle\mathrm{L}$ lib RETVAL $1\langle$ nil $\rangle \mathrm{E} \mathrm{E}\rangle\}$


## Division of Conditional Values

## Division of Conditional Values

* Tagged Conditional Values:
$\langle$ A foo LOCAL $4\langle$ nil $\rangle\rangle$ :
$\{\langle\langle$ STATIC A bar PARM $1\langle$ nil $\rangle\rangle \mathrm{D} D\rangle,\langle\langle$ DYNAMIC L lib RETVAL $1\langle$ nil $\rangle \mathrm{D} D\rangle$,
$\langle\langle S T A T I C$ a bar PaRM $1\langle$ nil $\rangle\rangle E E\rangle,\langle\langle$ DYNAMIC L lib RETVAL $1\langle n i l\rangle E E\rangle$


## Division of Conditional Values

* Tagged Conditional Values:
$\langle A$ foo LOCAL $4\langle$ nil $\rangle\rangle$ :
$\{\langle\langle$ STATIC A bar PARM $1\langle$ nil $\rangle\rangle \mathrm{D} D\rangle,\langle\langle$ DYNAMIC L lib RETVAL $1\langle n i l\rangle \mathrm{D} D\rangle$,
$\langle\langle$ STATIC A bar PARM $1\langle$ nil $\rangle\rangle$ E E $\rangle,\langle\langle$ DYNAMIC L lib RETVAL $1\langle$ nil $\rangle$ E E $\rangle\}$
* Language for Tagged Conditional Values:


## Division of Conditional Values

* Tagged Conditional Values:
$\langle A$ foo LOCAL $4\langle n i l\rangle\rangle$ :
$\{\langle\langle$ STATIC A bar PARM $1\langle$ nil $\rangle\rangle \mathrm{D} D\rangle,\langle\langle$ DYNAMIC L lib RETVAL $1\langle$ nil $\rangle \mathrm{D} D\rangle$,
$\langle\langle$ STATIC A bar PARM $1\langle$ nil $\rangle\rangle \mathrm{E}$ E $\rangle,\langle\langle$ DYNAMIC L lib RETVAL $1\langle$ nil $\rangle \mathrm{E} \mathrm{E}\rangle\}$
* Language for Tagged Conditional Values:

```
<Start> ::= <ProgElem> : <CV>*
<ProgElem> ::= <Class> <Method> <Type> <Ref> <Fields>
<CV> ::= <ProgElem> <DepVal> <ResVal>
<Type> ::= LOCAL | PARM | ARG | RETVAL | FIELD
<DepVal> ::= D | E
<ResVal> ::= D | E
```

<Start> ::= <ProgElem> : <TaggedCV>*

```
<Start> ::= <ProgElem> : <TaggedCV>*
<TaggedCV> ::= <Tag> <CV>
<TaggedCV> ::= <Tag> <CV>
<Tag> ::= STATIC | DYNAMIC
<Tag> ::= STATIC | DYNAMIC

\section*{Conditional Value Evaluator}

1 Procedure CEval \(\left(g_{m}(x)\right.\), IN \(\left._{g_{m}(x)}\right)\)
    Initialize a list \(L\) of statically known dependencies.
    foreach \(d \in \mathrm{IN}_{\mathrm{g}_{\mathrm{m}}(\mathrm{x})}\) do
        Add \(d\) to \(L\).
            Add the transitive dependencies of \(d\) to \(L\).
    Form strongly connected components (SCCs) in the list \(L\).
        repeat
            foreach strongly connected component \(S\) formed above do
                if \(\nexists e \in S\) s.t. e depends on another SCC then
                    \(L \forall e \in S\), resolve \(e\) to \(\perp\).
                            Take a meet of the resolved values in each SCC
    until fixed point;

\section*{Conditional Value Evaluator}

1 Procedure CEval \(\left(g_{m}(x)\right.\), IN \(\left._{g_{m}(x)}\right)\)
    Initialize a list \(L\) of statically known dependencies.
    foreach \(d \in \operatorname{IN}_{g_{m}(x)}\) do
    Add \(d\) to \(L\).
    Add the transitive dependencies of \(d\) to \(L\).
    Form strongly connected components (SCCs) in the list \(L\).
        repeat
            foreach strongly connected component \(S\) formed above do
                if \(\nexists e \in S\) s.t. e depends on another SCC then
                            \(\lfloor\forall e \in S\), resolve \(e\) to \(\perp\).
                            Take a meet of the resolved values in each SCC
    until fixed point;
* Evaluated Conditional Values:
\(=\Pi_{e a}\{\langle\langle\) DYnamic L lib RETVAL \(1\langle\) nil \(\rangle \mathrm{D} D\rangle,\langle\langle\) DYNAMIC L lib RETVAL \(1\langle\) nil \(\rangle \mathrm{E} \mathrm{E}\rangle\}\)

\section*{AM Projections}

\section*{AM Projections}
* Specialize the evaluator with the partial result.


\section*{AM Projections}
* Specialize the evaluator with the partial result.


\section*{Generated Partial Result Evaluator}
```

class PartialResultEvaluator {
public static void main(String[] args) {
// Read the values for dynamic dependencies
x1 = Resolved value of <L.lib, r1> // first dependence
x2 = Resolved value of <L.lib, r1> // second dependence
res = x1 П ea x2
print(res);
}
}

```

Figure: Schema of the partial-result evaluator emitted for \(g_{\text {A.foo }}\left(O_{4}\right)\).
* Can be placed in any VM to obtain the final analysis result for \(\mathrm{O}_{4}\).

\section*{\(2^{\text {nd }}\) AM Projection}
* Specialize Mix with the conditional-value evaluator.


\section*{\(3^{\text {rd }}\) AM Projection}
* Specialize Mix with Mix.


\section*{Efficiency, Correctness and Precision of Staging}

\section*{Efficiency, Correctness and Precision of Staging}
* Lemma 1. Statically available Input

If the set of statically available dependencies is empty, then the specialization performed by the first AM projection for a conditional-value evaluator can be seen in same light as the specialization performed by the first Futamura projection for a program interpreter.

\section*{Efficiency, Correctness and Precision of Staging}
* Lemma 1. Statically available Input

If the set of statically available dependencies is empty, then the specialization performed by the first AM projection for a conditional-value evaluator can be seen in same light as the specialization performed by the first Futamura projection for a program interpreter.
* Lemma 2. Maximal Specialization

Partial evaluation of a program with a statically available input implies that the program is specialized to the extent possible (that is, maximally specialized) with respect to that input.

\section*{Efficiency, Correctness and Precision of Staging}
* Theorem 1. Efficiency

For a given program element and its statically available dependencies, the partialresult evaluator obtained by the first AM projection is maximal in terms of the conditional-value evaluation that can be performed statically.

\section*{Efficiency, Correctness and Precision of Staging}
* Theorem 1. Efficiency

For a given program element and its statically available dependencies, the partialresult evaluator obtained by the first AM projection is maximal in terms of the conditional-value evaluation that can be performed statically.
* Theorem 2. Precision and Correctness

For any program element, the analysis results generated by a whole-program analysis and by the corresponding staged analysis are the same.

\section*{Future Directions and Connections}

\section*{Future Directions and Connections}
* Runtime Features: Challenges and Possibilities.

\section*{Future Directions and Connections}
* Runtime Features: Challenges and Possibilities.
\(\diamond\) Fallback values in case of other analyses.

\section*{Future Directions and Connections}
* Runtime Features: Challenges and Possibilities.
\(\diamond\) Fallback values in case of other analyses.
* Cross-pollination of Specialization Ideas

\section*{Future Directions and Connections}
* Runtime Features: Challenges and Possibilities.
\(\diamond\) Fallback values in case of other analyses.
* Cross-pollination of Specialization Ideas
* Query- and Feedback-Driven Analyses.

\section*{Future Directions and Connections}
* Runtime Features: Challenges and Possibilities.
\(\diamond\) Fallback values in case of other analyses.
* Cross-pollination of Specialization Ideas
* Query- and Feedback-Driven Analyses.


\author{
Principles of Staged Static + Dynamic Partial Analysis
}

\author{
Aditya Anand(© and Manas Thakur \({ }^{(\boxed{\aleph})}\) (ㅇ) \\ Indian Institute of Technology Mandi, Kamand, India ud21002@students.iitmandi.ac.in, manas@iitmandi. ac.in
}

Abstract. In spite of decades of static-analysis research behind developing precise whole-program analyses, languages that use just-in-time (JIT) compilers suffer from the imprecision of resource-bound analyses local to the scope of compilation. Recent promising approaches bridge this gap by splitting program analysis into two phases: a static phase that identifies interprocedural dependencies across program elements, and a dynamic phase that resolves those devendencies to generate final analvsis results.

\section*{Conclusion}
* Formalized the theory of staged static+dynamic partial analysis based on the theory of partial evaluation.

\section*{Conclusion}
* Formalized the theory of staged static+dynamic partial analysis based on the theory of partial evaluation.
* Projections for efficiently generating the evaluators (and their generators) for partial results.

\section*{Conclusion}
* Formalized the theory of staged static+dynamic partial analysis based on the theory of partial evaluation.
* Projections for efficiently generating the evaluators (and their generators) for partial results.
* Proved the correctness and precision of staged static+dynamic analysis.

\section*{Conclusion}
* Formalized the theory of staged static+dynamic partial analysis based on the theory of partial evaluation.
* Projections for efficiently generating the evaluators (and their generators) for partial results.
* Proved the correctness and precision of staged static+dynamic analysis.

\section*{Thank You!}```

